

2013 ANNUAL REPORT

The Wallace Alexander Gerbode Foundation

111 Pine Street, Suite 1515, San Francisco, CA 94111

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San Francisco, CA 94111

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Board of Directors

Maryanna G. Stockholm, Chair

Frank A. Gerbode, MD, Vice Chair and Secretary

Charles M. Stockholm, Vice Chair and Treasurer

Sharon Gerbode, Board Member

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Thomas C. Layton, President

Staff

Thomas C. Layton, President

Stacie Ma'a, Vice President

Olivia Malabuyo Tablante, Grants Manager & Manager of Special Awards

Molly Barrons, Administrative Manager

Catharine Kalin, Bookkeeper

Total assets as of December 31, 2013.....	\$66,075,272
Grants approved in 2013.....	\$2,309,235
Grants paid in 2013.....	\$2,439,735

Purpose

The Wallace Alexander Gerbode Foundation is interested in programs and projects offering potential for significant impact. The primary focus is on the San Francisco Bay Area (counties of Alameda, Contra Costa, Marin, San Francisco and San Mateo) and Hawaii. The Foundation's interests generally fall under the following categories:

- Arts and culture
- Environment
- Reproductive rights and health
- Citizen participation/building communities/inclusiveness
- Strength of the philanthropic process and the nonprofit sector
- Foundation-initiated special projects

Limitations & Restrictions

To qualify for support, an organization must be a tax-exempt public charity, as determined by Internal Revenue Code Section 501(c)(3). The Foundation generally does not support direct services, deficit budgets, general operating funds, building or equipment funds, general fundraising campaigns, religious purposes, private schools, publications, scholarships, or grants to individuals.

Preferred Form of Contact

Letters of inquiry are accepted on an ongoing basis. All letters of inquiry should be addressed to Molly Barrons, Administrative Manager, and should include a brief description of the work the applicant is seeking funding for and a brief description of the organization. All requests for funding should include a budget (either project or organizational) and should not include materials (DVDs, CDs, etc.) requiring return.

Funding Cycle

The board meets four times annually.

Deadlines for Applications

As noted above, letters of inquiry are accepted on an ongoing basis. Under the annual Special Awards program to commission new works of art, the Foundation issues separate guidelines covering the eligibility requirements and the application process. The Special Awards cycles are usually announced in May and the application and guidelines can be downloaded from this website. Applications are due in late August and awards are announced in January.

GRANTS

Total Approved
in 2013

Total Paid in
2013

Payable Future
Years

Arts & Culture

Asian Art Museum Foundation of San Francisco
Support of its Community Engagement Initiative.

24,750

24,750

Na Lei Hulu I Ka Wekiu Hula Halau
Support of its work.

7,500

7,500

Zellerbach Family Foundation
Support of its Community Arts Program.

100,000

Zellerbach Family Foundation
Support of its Community Arts Program.

100,000

100,000

Special Awards in the Arts

Circuit Network
Support of the commission and production of a new work by Playwright Kristina Wong.

25,000

25,000

Circuit Network
Support of the commission and production of a new work by Composer Pamela Z.

50,000

50,000

Door-Dog Music Productions Inc.
Support of the commission and production of a new work by Composer Anuradha Sridhar.

50,000

50,000

Encore Theatre Foundation
Support of the commission and production of a new work by Playwright Lauren Yee.

25,000

25,000

Gamelan Sekar Jaya
Support of the commission and production of a new work by Composer Wayne Vitale.

50,000

50,000

Golden Thread Productions
Support of its commission and production of a new work by Playwright Torange Yeghiazarian.

25,000

25,000

Intersection for the Arts
Support of Campo Santo's commission and production of a new work by Playwright Chinaka Hodge.

50,000

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ARTS & CULTURE cont'd.

Jewish Community Center of San Francisco

Support of the commission with composer Mark Izu in collaboration with choreographer Kimi Okada.

25,000

Kronos Quartet

Support of the commission and production of a new work by Composer Jonathan Berger.

50,000

50,000

La Pena Cultural Center Inc.

Support of its commission and production of a new work by Playwright Ariel Luckey.

50,000

Magic Theatre Inc.

Support of its commission and production of a new work by Playwright Luis Alfaro.

25,000

25,000

Margaret Jenkins Dance Studio Inc.

Support of the commission and production of a new work by Choreographer Margaret Jenkins.

25,000

Na Lei Hulu I Ka Wekiu Hula Halau

Support of the commission and production of a new work by Choreographer Patrick Makuakane.

25,000

San Francisco Chamber Orchestra

Support of the commission and production of a new work by Composer Amy X Neuberg.

50,000

50,000

Volti

Support of the commission and production of a new work by Composer Mark Wings.

50,000

50,000

TOTAL ARTS & CULTURE

432,250

407,250

500,000

Community Affairs

Asian Americans Advancing Justice

Support of its strategic planning efforts.

25,000

25,000

Bay Area Parent Leadership Action Network

Support of its organizing and leadership development with low-income parents in Oakland.

25,000

25,000

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COMMUNITY AFFAIRS cont'd.

California College of the Arts Support of its Faculty Professional Development Program.	10,000	10,000
California Lawyers for the Arts Inc. Support of its Criminal Justice System work in California.	15,000	15,000
California Voter Foundation Support of its work.	25,000	25,000
Center for Investigative Reporting, Inc. Support of its work.	25,000	25,000
Chinese for Affirmative Action Support of its work.	25,000	25,000
City Arts and Lectures Inc. Support of its work.	15,000	15,000
Community Music Center Support of its Faculty Grants Program.	10,000	10,000
Commonweal Support of its Juvenile Justice Policy Reform Initiative.	25,000	25,000
Community Living Campaign Support of its work.	15,000	15,000
Compassion and Choices Support of its work.		35,000
Compassion and Choices Support of its work in California.	25,000	25,000
Contra Costa Interfaith Supporting Community Organization Support of its Ceasefire-Lifelines to Healing Initiative.	25,000	25,000
Corporation for Enterprise Development Support of its 1:1 Fund project.	50,000	50,000

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COMMUNITY AFFAIRS cont'd.

Equal Justice Society Support of its work.	25,000	25,000
* Hawaii Community Foundation Support of its Hiki No program.		37,500
* Hawaii Public Television Foundation Support of its Hiki No program.	25,000	25,000
* Hawaii Community Foundation Support of its Ho'okele Professional Development Awards.		23,000
* Hawaii Community Foundation Support of its Ho'okele Professional Development Awards	76,342	76,342
* Hawaii Friends of Civic and Law-Related Education Support of its Restorative Justice Project.	25,000	25,000
Immigrant Legal Resource Center Support of its organizational development.	25,000	25,000
International Institute of the Bay Area, The Support of its Bay Area Deferred Action for Childhood Arrivals Collaborative.	25,000	25,000
Legal Community Against Violence Support of its work in the Bay Area and California.	25,000	25,000
Legal Community Against Violence Support of its organizational development.		35,000
Maplight.org Support of its work.	25,000	25,000
North East ExchangeTeam - San Francisco (aka NEXT Village SF) Support of its work.	12,500	12,500
Our Family Coalition Support of its work.	25,000	25,000

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COMMUNITY AFFAIRS cont'd.

Pacific News Service 25,000 25,000
Support of its long-range planning.

* **Polynesian Voyaging Society** 25,000 25,000
Support of its Communications Director position
to assist with the Worldwide Voyage project.

Prison University Project Inc. 25,000 25,000
Support of its public education work.

**Regents of the University of California
School of Social Welfare** 50,000 50,000
Support of its 2013 Gerbode Foundation Professional
Development Awards.

**Regents of the University of California
School of Social Welfare** 50,000 50,000
Support of its 2014 Gerbode Foundation Professional
Development Awards.

San Francisco Information Clearinghouse 20,000 20,000
Support of its Council of Community Housing
Organizations.

**San Francisco Planning & Urban
Research Association** 2,575 2,575
Support of its Silver SPUR Awards Luncheon.

Self-Help for the Elderly 25,000 25,000
Support of its San Francisco Pathways to
Citizenship project.

Tides Center 25,000 25,000
Support of its LeaderSpring program.

TOTAL COMMUNITY AFFAIRS 851,417 981,917 -

Environment & Conservation

Baykeeper 25,000 25,000
Support of its work.

Breast Cancer Action 10,000 10,000
Support of its work.

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ENVIRONMENT & CONSERVATION cont'd.

Commonwealth Club of California Support of its Climate One project.	25,000	25,000
David Brower Center Support of its 2013 annual event.	1,000	1,000
* Environment Hawaii Inc. Support of its work.	10,000	10,000
Greenaction for Health and Environmental Justice Support of its work in the Bayview Hunter's Point.	25,000	25,000
* Hanalei Watershed Hui Support of its Save Hanalei River Ridge project.	10,000	10,000
Heyday Support of its work.	15,000	15,000
* Kua Aina Ulu Auamo Support of its Better Together: Building Capacity for Collective Impact initiative.	25,000	25,000
* Malama Maunalua Support of its work.	25,000	25,000
* Nature Conservancy Support of its Protecting Hawaii: Mauka to Makai initiative.	50,000	50,000
* Outdoor Circle Central Organization Matching grant of \$25,000 contingent upon proof of funds raised for its Rail Litigation Fund.	25,000	25,000
Radio Bilingue Inc. Support of its work in the areas of the environment, reproductive health and gun violence.	25,000	25,000
San Francisco Parks Alliance Support of its Playground Initiative.	50,000	50,000

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ENVIRONMENT & CONSERVATION cont'd.

San Francisco Foundation 50,000 50,000
Support of its Great Communities Collaborative.

Sonoma Ecology Center 15,000 15,000
Support of its Universal Tracking System project.

* **Kohala Center Inc., The** 60,000 60,000
Support of its fund development capacity at Kahalu'u Bay.

TransForm 25,000 25,000
Support of its internship program.

* **Trust for Public Land** 50,000 50,000
Support of its organizational development.

* **Trust for Public Land** 50,000 50,000
Support of its Hawaiian Islands Program.

We Players 10,000 10,000
Support of its work in national and state parks.

TOTAL ENVIRONMENT & CONSERVATION **581,000** **581,000** -

Population, Reproductive Rights and Health

American Civil Liberties Union 25,000 25,000
Foundation of Northern California
Support of its Reproductive Rights Project.

Asia Foundation 25,000 25,000
Support of its Women's Empowerment Program.

Catholics for Choice 25,000
Support of its work in the area of reproductive rights and health.

Forward Together 25,000 25,000
Support of its work.

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POPULATION, REPRODUCTIVE RIGHTS & HEALTH cont'd.

Global Fund for Women, Inc. Support of its work.	25,000	25,000	
Ibis Reproductive Inc. Support of its reproductive healthcare for women in the U.S. military.	25,000	25,000	
Law Students for Reproductive Justice Support of its work.	25,000	25,000	
Medical Students for Choice Support of its work.	20,000	20,000	
NARAL Pro-Choice America Foundation Support of its Power of Choice Luncheon.	10,000	10,000	
New Venture Fund Support of its Catalyst Fund: Resources for Women of Color in Reproductive Justice.	25,000	25,000	
Nursing Students for Choice Support of its work.	15,000	15,000	
Pacific Links Foundation Support of its work.	20,000	20,000	
Physicians for Reproductive Choice and Health Inc. Support of its work.	25,000	25,000	

TOTAL POPULATION, REPRODUCTIVE RIGHTS & HEALTH

265,000

290,000

-

Philanthropic Process

Alliance for Justice Support of its work.	10,000	10,000	
Asian American-Pacific Islanders in Philanthropy Support of its work.	15,000	15,000	
California Association of Nonprofits Support of its work.	5,000	5,000	

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PHILANTHROPIC PROCESS cont'd.

Council on Foundations Inc. Support of its work.	7,030	7,030
Environmental Grantmakers Association Support of its work.	2,594	2,594
Foundation Center Support of its San Francisco Library-Learning Center.	5,000	5,000
Funders Network on Population Reproductive Health and Rights Inc., The Support of its work.	1,000	1,000
Grantmakers Concerned with Immigrants and Refugees Support of its work.	2,000	2,000
Grantmakers for Effective Organizations Support of its work.	1,830	1,830
Grantmakers in the Arts Support of its work.	1,500	1,500
Greatnonprofits Support of its work.	25,000	25,000
* Hawaii Alliance of Nonprofit Organizations Support of its work.	25,000	25,000
Hispanics in Philanthropy Support of its work.	5,000	5,000
Independent Sector Support of its work.	3,000	3,000
Latino Community Foundation Support of its development efforts.	25,000	25,000
National Committee for Responsive Philanthropy Support of its work.	15,000	15,000
Northern California Grantmakers Support of its work.	5,614	5,614

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PHILANTHROPIC PROCESS cont'd.

* Peoples Fund Support of its work.	25,000	25,000	
TOTAL PHILANTHROPIC PROCESS	179,568	179,568	-
Total Grants in 2013	2,309,235	2,439,735	500,000

* Hawaii Grants

Conflict of Interest Policy

The board and staff members of the Wallace Alexander Gerbode Foundation are encouraged to play active roles in their communities by serving as board members or otherwise being involved with a wide spectrum of nonprofit organizations. This means that, from time to time, potential conflicts of interest or the appearance of such conflicts will inevitably arise. It is the Foundation's policy to deal with such conflicts in as open and appropriate a way as possible. Conflicting involvements include but are not limited to the following: Foundation board or staff members serving as board members of applicant organizations, immediate family members of Foundation board or staff members serving as board members of applicant organizations, Foundation board members or staff members or their immediate family members being employed by or doing business with applicant organizations. Foundation board and staff and their immediate family members are prohibited from doing business with the Foundation.

In case of such conflicts or the appearance thereof, Foundation board members and staff are expected to disclose the conflict prior to making any related grant decisions. Once such a disclosure has been made, the remaining board members will determine whether or not there is a potential conflict of interest. Should it be so considered, the board member involved shall abstain from voting and shall not participate in the discussion of the applicant organization other than to answer specific questions that may be raised by other board members.

In cases where the Foundation's board of trustees decides to award a grant to an organization and one or more of the Foundation's board members has abstained from voting as the result of a conflict or the appearance thereof or a staff member has a conflict or the appearance thereof, such grants and board or staff members shall be identified in the Foundation's annual report.